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AZ CORP COMMISSION DISUMENT CONTROL

BEFORE THE ARIZONA CORPORATION COMMISSION

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In the matter of:

NON-STOP SHOPPING.COM,
INC.
d/b/a 2C2K.COM, INC.
4757 East Greenway Road

Docket No. S-03427A-020000

MOTION OF RESPONDENTS

DONALD L. LEVINE 2 West Waltann Lane Phoenix, Arizona 85023

Phoenix, Arizona 85032

KENNETH MARK DEUBNER 7911 East Princess Drive, #1249 Scottsdale, Arizona 85255

STEPHEN ROSENBAUM
6801 East Evans Drive
Scottsdale, Arizona 85254

BOBBIE JO SWARTZ 6801 East Evans Drive Scottsdale, Arizona 85254

Respondents.

ROSENBAUM AND SWARTZ FOR ORDER CONTINUING DATE OF HEARING

Arizona Corporation Commission
DOCKETED

MAR 2 4 2003

DOCKETED BY

Respondents Stephen Rosenbaum and Bobbie Jo Swartz respectfully request an Order continuing the hearing that is

presently scheduled for March 26, 2003 to July 2003. The reasons for this request are as follows:

- 1. Respondents had tentatively hired attorney Richard L. Brooks to represent them at the hearing contingent upon his determination of whether a conflict of interest or any ethical issues precluded his representation. A copy of his letter to Securities Division Attorney Kathleen C. DeLaRosa is attached to this Motion.
- 2. Mr. Brooks has become General Counsel to Level X Media Corporation, a new company located in Mesa, Arizona.
- 3. In the expectation he would not be precluded from representing Respondents Rosenbaum and Swartz, Mr. Brooks was advised that Ms. DeLaRosa does not object to having the hearing held in July 2003.
- 4. On March 23, 2003, Mr. Brooks advised that he is not able to represent Respondents Rosenbaum and Swartz because he had just learned that great limitations on his time are going to exist at his Company, thereby making it impossible for him to devote the time necessary to represent Respondents.
- 5. Respondents are not attorneys and are attempting to hire another attorney. It will prejudicial to Respondents if the hearing takes place before they can hire an attorney to represent them at the hearing.

For the reasons stated in this Motion, Respondents request that the hearing be continued from March 26, 2003 to July 2003.

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Dated: March 24, 2003. 1 2 3 Stephen Rosenbaum 4 5 6 Jo *S*wartz 7 8 ORIGINAL and 15 copies hand deliveredthis 24th 9 day of March, 2003 with: 10 Docket Control Clerk Arizona Corporation Commission 11 Hearing Division 12 1200 West Washington Phoenix, AZ 85007 13 and copies also hand delivered 14 on this same date to: 15 Administrative Law Judge Mark Stern 16 Arizona Corporation Commission Hearing Division 17 1200 West Washington Phoenix, AZ 85007 18 19 and 20 Kathleen C. DeLaRosa, Esq. Arizona Corporation Commission 21 c/o Docket Control Clerk 1200 West Washington Phoenix, AZ 85007 22 23 24 25 26 27

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RICHARD L. BROOKS, P.C.

Attorney and Counselor

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Admitted in

- Arizona
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FACSIMILE AND MAIL

March 11, 2003

Kathleen C. DeLaRosa, Esq.
Arizona Corporation Commission
Securities Division
1300 West Washington Street, 3rd Floor
Phoenix, AZ 85007

Re: In re Non-Stop Shopping.Com., Inc. d/b/a 2c2k.com, et al.: A.C.C. Docket No. S-03427A-02-0000

Dear Ms. DeLaRosa:

This will confirm that I contacted you today and informed you that I am in the process of determining whether I shall become new counsel representing Respondents Stephen Rosenbaum and Bobbie Jo Swartz. You graciously said you have no objection to the entry of an Order continuing the hearing for forty-five (45) days from March 26, 2003 - <u>i.e.</u>, until at least May 5, 2003 or some other date in May selected by the Administrative Law Judge. You authorized me to give this information to the Judge, and to inform the Judge that because of scheduling conflicts that you have, you believe the hearing should not be held before May 2003.

When we spoke, I told you that Mr. Rosenbaum and Ms. Swartz do not intend to default or to refrain from filing an Answer to the Commission's Notice of Opportunity for Hearing, etc. (the "Notice"). To protect the interests of Mr. Rosenbaum and Ms. Swartz, please consider this letter as a request for a time extension for them to answer or otherwise respond to the Notice. It is my present understanding that they deny all of the claims and allegations in the Notice in their entirety, and wish their denials to become part of the administrative record in this proceeding.

At this point, I am compelled to seriously question whether it was proper for the Commission to have had any dealings with Ohio attorney Roger A. Kimmel in any aspect of this proceeding, or to permit Mr. Kimmel's participation in the proceedings. Mr. Kimmel was undoubtedly practicing law in the State

Kathleen C. DeLaRosa, Esq. March 11, 2003 Page 2

of Arizona, although to my knowledge and belief, Mr. Kimmel is not licensed to practice law in this State, and - under Rule 33(c) of the Rules of the Arizona Supreme Court - he had no right to appear, in any manner (by telephone, correspondence, or in person), or to otherwise deal with the Commission in any way on behalf of Mr. Rosenbaum or Ms. Swartz. (Naturally, Mr. Rosenbaum and Ms. Swartz were unaware of this or they would never have agreed to his participation in the proceedings and would never have followed his advice to appear at the Commission unaccompanied by any attorney.)

Because Mr. Kimmel's participation was unlawful under Supreme Court Rule 33(c), it was not proper for the Commission to have any dealings with him regarding the Commission's claims and factual allegations against Mr. Rosenbaum or Ms. Swartz. Mr. Rosenbaum and Ms. Schwartz were deprived of the effective assistance of counsel in a proceeding in which they were not competent to defend themselves, object to any of the questions they were asked, or understand the legal ramifications of any testimony they gave.

As we discussed, if I decide to represent Mr. Rosenbaum and Ms.Swartz in this proceeding, I shall file a Notice of Appearance, a separate Motion to Continue the March 26-27, 2003 hearing, and a public records request for any and all documents (including any statements or testimony that Mr. Rosenbaum and Ms. Schwartz made under oath) relevant to the Commisson's claims and factual and legal allegations.

Again, thank you very much for the courtesies you extended to me today.

Sincerely,

/W V

RICHARD L. BROOKS, P.

RI Remo

cc: Stephen Rosenbaum (w/encl. Notice of Opportunity, etc.)
Bobbie Jo Swartz (w/encl. Notice of Opportunity, etc.)